

Humberside
Joint Independent Audit Committee

10 December 2018

Report of the
Deputy Chief
Executive and
Treasurer

AUDIT COMMITTEE SELF-ASSESSMENT OF EFFECTIVENESS

PURPOSE OF THE REPORT

1. This purpose of the report is to provide members with an action plan arising from the recent self-assessment exercise and to consider an annual report for 2017/18.

BACKGROUND

2. During the summer Members conducted a self-assessment exercise. The results were collated and submitted to the last meeting. A draft action plan has subsequently been compiled and a copy is attached for consideration at appendix A.
3. Both Internal and External Audit were asked to consider the results of the exercise. Internal Audit responded to advise that they were broadly in agreement with the Committee's findings but did suggest that it would be useful for both the external and internal auditors to attend the pre-meetings to be able to highlight and/or inform the Committee of any issues or concerns in the absence of Force/OPCC officers/managers. Unfortunately no response was received from KPMG.
4. As part of the assessment of the work of the Committee, the Terms of Reference require the Committee to produce an Annual Report. A draft report for 2017/18 is therefore attached at appendix B for consideration.

FINANCIAL IMPLICATIONS

5. There are no financial implications other than potential training costs arising from the action plan.

LEGAL IMPLICATIONS

6. There are no legal implications.

EQUALITY AND DIVERSITY AND HUMAN RIGHTS

7. There are no implications for equality and diversity and human rights.

CONCLUSIONS

8. The report provides a proposed response to the findings of the self-assessment exercise and proposes a draft annual report for 2017/18.

RECOMMENDATIONS

9. It is recommended that Members:-
 - (a) Consider the action plan, and
 - (b) Discuss the draft Annual Report for 2017/18.

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